

Client Registration

BENEFICIARY ACCOUNT

INDIVIDUAL & NON- INDIVIDUAL

You & Us

Valuing Relationships. Delivering Value.

Client Code: _____ BO. ID: _____

Client Name: _____ Application Sr. No.: _____

Dear Customer,

Greetings from Prabhudas Lilladher Pvt. Ltd.!

We would like to take this opportunity to thank you for choosing to register with Prabhudas Lilladher (PL), one of India's most trusted and respected financial services group. Established in 1944, we have achieved this position by maintaining the highest standards of services, while adhering to our strong principles of integrity, professional ethics and transparency. It will be our pleasure to meet your needs and offer you a hassle-free Investment experience.

To begin with, we would require your co-operation in completing the relevant forms, DP-BO agreement and submitting the relevant documents/proofs as required for meeting regulatory norms. We have attempted to make this form as simplistic as possible, focusing on ease of completion. You will also find instructions at relevant places, which will be extremely helpful as you go along.

Needless to add, should you require any assistance in completing any part of the form, please feel free to contact our staff, who will promptly address your queries and guide you.

Looking forward to a long and beneficial relationship

Regards,

DP Team

Please feel free to call on 022-6632 2456

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Note : BO - Beneficial Owner or Demat Accountholder.

* Not applicable if the Account holder(s) is / are already registered with KYC Registration Agency (KRA)

CHECK-LIST OF DOCUMENTS FOR OPENING DEMAT ACCOUNT

SEBI APPROVED KYC DOCUMENTS FOR INDIVIDUAL BO / KARTA OF HUF / PARTNERS OF FIRM / AUTHORISED SIGNATORIES / PROMOTERS / DIRECTORS / TRUSTEES / MANAGING COMMITTEE MEMBERS

PROOF OF IDENTITY & SIGNATURE

<input type="checkbox"/>	PAN card with photograph <i>(Mandatory for all Investors including Joint-holders)</i>
<input type="checkbox"/>	Unique Identification Number (UID / Aadhaar) Card
<input type="checkbox"/>	Passport <i>(with BO's Photo, Sign & Address)</i>
<input type="checkbox"/>	Voter's ID card <i>(Front & Back)</i>
<input type="checkbox"/>	Driving Licence
<input type="checkbox"/>	Bank Verification Letter - Photo / Signature attested by Bank Manager <i>(bearing BO's Account number, Bank stamp, Branch MICR code and Attesting Authority's Name & Employee number)</i>
<input type="checkbox"/>	Credit Card / Debit Card issued by Scheduled Commercial Banks <i>(with BO's Photo & Sign)</i>
<input type="checkbox"/>	ID Card/Document issued by - State or Central Government & its departments / Statutory Regulatory Authorities/ Public Sector Undertaking/ Scheduled Commercial Banks/ Public Financial Institutions/Colleges affiliated to Universities / Professional Bodies like ICAI, ICWAI, ICSI, Bar Council etc. to their Members <i>(with BO's Photo & Sign)</i>

PROOF OF ADDRESS

<input type="checkbox"/>	Passport <i>(with BO's Photo, Sign & Address)</i>
<input type="checkbox"/>	Voter's ID card <i>(Front & Back)</i>
<input type="checkbox"/>	Driving Licence
<input type="checkbox"/>	Ration Card
<input type="checkbox"/>	Flat Maintenance Bill / Insurance Copy
<input type="checkbox"/>	Gas Bill <i>(Bill date being not more than 3 months old)</i>
<input type="checkbox"/>	Electricity Bill <i>(Bill date being not more than 3 months old)</i>
<input type="checkbox"/>	Landline Telephone Bill <i>(Bill date being not more than 3 months old - Mobile Bills not accepted as proof)</i>
<input type="checkbox"/>	Leave / Licence Agreement or Sale Agreement of BO's Residence <i>(pages bearing BO's Name, Address along with last page with signatures evidencing the execution of agreement)</i>
<input type="checkbox"/>	Bank Passbook / Statement with BO as first-holder in Bank Account <i>(Not more than 3 months old - alongwith copy of pages containing BO's Name, Address and Last Transaction)</i>
<input type="checkbox"/>	Bank Verification Letter with Photo & Address attested by Bank Manager <i>(bearing BO's Account number, Bank stamp, Branch MICR code and Attesting Authority's Name & Employee number)</i>
<input type="checkbox"/>	ID Card/Document issued by - State or Central Government & its departments / Statutory Regulatory Authorities/ Public Sector Undertaking/ Scheduled Commercial Banks/ Public Financial Institutions/Colleges affiliated to Universities / Professional Bodies like ICAI, ICWAI, ICSI, Bar Council etc. to their Members <i>(with BO's Address)</i>
<input type="checkbox"/>	Self Declaration by High Court & Supreme Court judges giving the new address in respect of their own accounts
<input type="checkbox"/>	Proof of address issued by Bank Managers of Scheduled Commercial Banks/ Co-operative Banks or Multinational Foreign Banks or a Gazetted Officer or Notary Public or Elected representatives to Legislative Assembly/ Parliament/ Documents issued by any Govt. or Statutory Authority
<input type="checkbox"/>	Any of the above proof of Address issued in the name of BO's Spouse

PROOF OF BANK DETAILS

<input type="checkbox"/>	Bank Passbook / Statement <i>(Not more than 3 months old - alongwith copy of cancelled cheque & pages containing BO's Name, Address and Last Transaction)</i> Mandatory document for HUF and NRI/FN Applicant
<input type="checkbox"/>	Bank Verification Letter - Photo / Signature / Address attested by Bank Manager <i>(bearing BO's Account number, Bank stamp, Branch IFSC & MICR code and Attesting Authority's Name & Employee number)</i>
<input type="checkbox"/>	Cancelled or Copy of Personalised Cheque Leaf

Note : Documents having an expiry date shall be accepted only if it is valid as on the date of submission.

BO CATEGORY WISE - ADDITIONAL REQUIREMENTS

<input type="checkbox"/>	(1) Passport / PIO Card / OCI Card (2) Overseas Address Proof (3) Declaration duly signed that BO has complied with and will continue to comply with FEMA regulations and other applicable laws	Mandatory for NRIs / Foreign Nationals
<input type="checkbox"/>	Mariner's Declaration or Certified Copy of CDC (<i>Continuous Discharge Certificate</i>)	Mandatory for Merchant Navy NRIs
<input type="checkbox"/>	Complete Residential Address along with an undertaking that DP shall be informed whenever there is a change in the Residential address	Mandatory if NRI / FN applicant submits an Overseas P.O. Box No. address as his Correspondence / Permanent Address
<input type="checkbox"/>	(1) Any one of the document of Minor duly Notarised - Birth Certificate / School Leaving Certificate / Mark sheet issued by Higher Secondary Board / Passport (2) PAN Card & Proof of Address of Guardian (3) Passport size photograph of Minor & Guardian (4) Copy of Court Order in case the Guardian is not a Natural parent & is appointed by Court	Mandatory for Minor Account
<input type="checkbox"/>	Permanent Address (<i>other than that mentioned in correspondence address column</i>) & proof thereof	Mandatory if any BO wishes to get his Correspondence on address of some other entity such as C/o address, Office address, POA holder's address etc.

DOCUMENTARY REQUIREMENTS FOR OPENING NON-INDIVIDUAL BOs

Types of Entity	Documents Required
HUF	PAN Card of HUF Entity Bank Passbook / Statement in the name of HUF PAN Card & proof of Address of Karta Self Declaration by Karta giving details of family members of HUF with their names, sex, date of Birth & relationship with Karta
CORPORATE (Domestic or Foreign)	PAN Card copy of the Corporate Investor Certified Copies of Memorandum/Articles of Association and Incorporation Certificate (<i>bearing Stamp & Signature of Registrar</i>) Certified True Copy of Board Resolution (<i>on company's letterhead</i>) duly certified by Chairman / Managing Director / Director / Company Secretary, authorising opening of demat account and specifying names of persons authorised by Board to operate the said demat account and act as its Authorised Signatories. (<i>Resolution to specify manner of operation i. e. individually or jointly</i>) An Annexure to the Resolution (<i>on company's letterhead</i>) stating Full Name & Specimen Signature of Authorised Signatories duly attested by the Chairman / Managing Director / Director / Company Secretary Copy of Balance Sheets for the last 2 financial years (<i>to be submitted every year</i>) Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI Takeover Regulations, duly certified by Company Secretary / Whole-time Director / MD (<i>to be submitted every year</i>) PAN Card, Photograph, Proof of Identity & Address and DIN numbers of (a) Whole-time Directors / Two Directors in charge of day to day operations (b) Individual Promoters holding control - either directly or indirectly Proof of Correspondence & Registered Office Address * Proof of Bank Details

TRUST (Registered Public/ Charitable Trust)	<p>PAN card of the Trust.</p> <p>Certificate of Registration of Trust under the Societies Registration Act/ Public Trust Act, 1860 / Bombay Public Trust Act, 1950 / Public Trust Act, of relevant State.</p> <p>Copy of Trust Deed and Rules.</p> <p>List of Members on Board of Trustees certified by Managing Trustees / C.A.</p> <p>Certified True Copy of Board Resolution to open the demat account and specifying the persons authorized by the Board to act as Authorized signatory (ies) to operate the demat account (<i>Resolution to specify manner of operation i. e. individually or jointly</i>)</p> <p>An Annexure to the Resolution (<i>on Trust's letterhead</i>) stating full Name & Specimen Signature of the Authorized Signatories duly verified by the Managing Trustee</p> <p>Copy of Balance Sheets for the last 2 financial years (<i>to be submitted every year</i>)</p> <p>PAN Card, Photograph and Proof of Identity & Address of Trustees</p> <p>Proof of Correspondence & Registered Office Address of Trust *</p> <p>Proof of Bank details of the Trust</p>
RECOGNISED FUNDS / TRUSTS / ENTITIES (namely Employees Provident Fund / Gratuity Fund / Superannuation Fund / Venture Capital Funds / ESOP Trusts)	<p>PAN Card issued to such Fund/Trust/Entity</p> <p>Certificate of Registration issued by Authority recognizing such Fund/ Trust/ Entity</p> <p>Certified Copy of Trust Deed and Rules and/or any document or charter defining their constitution and providing for management thereof</p> <p>List of Members on the Board of Trustees/Governing Body certified by C.A.</p> <p>Certified True Copy of the Resolution passed by the Board of Trustees/Governing Body to open the demat account and specifying the persons authorized by the Board to act as Authorized signatory(ies) to operate the demat account (<i>Resolution to specify manner of operation i. e. individually or jointly</i>)</p> <p>An Annexure to the Resolution (<i>on Fund/Trust's letterhead</i>) stating full Name & Specimen Signatures of Authorized Signatories, duly verified by the Managing Trustee</p> <p>PAN Card, Photograph and Proof of Identity & Address of Trustees / Governing Body members</p> <p>Proof of Correspondence & Registered Office Address of Trust/Fund *</p> <p>Proof of Bank details of the Trust/Fund</p>
SOCIETY (Registered Society)	<p>PAN Card of the Society</p> <p>Copy of Certificate of Registration under the Societies Registration Act, 1860.</p> <p>Certified List of Managing Committee members.</p> <p>Certified True Copy of Committee Resolution for persons authorized by the Committee to act as Authorized Signatory (ies) for operating the demat account (<i>Resolution to specify manner of operation i. e. individually or jointly</i>)</p> <p>An Annexure to the Resolution (<i>on Society's letterhead</i>) stating full Name & Specimen Signatures of Authorized Signatories, duly verified by the Managing Committee</p> <p>True copy of Society Rules and Bye Laws Certified by the Chairman / Secretary</p> <p>PAN Card, Photograph & Proof of Identity & Address of Managing Committee Members</p> <p>Proof of Correspondence & Registered address of Society *</p> <p>Proof of Bank details of the Society</p>
BANKS	<p>PAN Card in the name of Bank</p> <p>Copy of Constitution/Registration or Annual Report/Balance sheet for last 2 financial years</p> <p>Certified True Copy of Board Resolution or Letter (<i>on bank's letterhead</i>) signed by the Chairman/MD authorizing opening of demat account and authority given to Authorized Signatories to open and operate the demat account. (<i>Resolution to specify manner of operation i. e. individually or jointly</i>)</p> <p>An Annexure to the Resolution (<i>on Bank's letterhead</i>) stating full Name & Specimen Signatures of Authorized signatories, duly certified by Chairman/Managing Director</p> <p>Proof of Correspondence & Registered Office Address of Bank *</p> <p>Proof of Bank account details</p>

ASSOCIATION OF PERSONS (AOP)	<p>PAN Card in the name of AOP Object of the association Proof of Existence / Constitution document Powers of the Managing Committee. Certified True Copy of Resolution or Letter <i>(on AOP's letterhead)</i> signed by the Governing Board Member authorizing opening of demat account and authority given to Authorized Signatories to open and operate the demat account. <i>(Resolution to specify manner of operation i. e. individually or jointly)</i> An Annexure to the Resolution <i>(on AOP's letterhead)</i> stating full Name & Specimen Signatures of Authorized Signatories, duly authorized by the Governing Board Member. Copy of the Bye Laws Proof of Correspondence & Registered Office Address of AOP * Proof of Bank details</p>
LIMITED LIABILITY PARTNERSHIP FIRM (LLP)	<p>Registration Certificate granted by Registrar to LLP under the LLP Act, 2008. Declaration <i>(on LLP's letterhead)</i> duly signed by all designated partner/s clearly stating that within named persons, who are designated partners of the LLP, have been nominated as Authorized Signatories to open and operate the said demat account on behalf of the LLP. The declaration shall specify the manner in which account will be operated, that is jointly or severally and shall give details of the Names, Addresses and DPIN [Designated Partner Identification Number allotted by the Registrar for each designated partner], along with their signatures and photographs. Bank details in the name of the LLP, as sole / first holder in the bank account. PAN Card of the LLP. The details of this PAN card will have to be entered in CDSL system. PAN card of the Authorized Signatories Proof of Correspondence & Registered Office address* Proof of Bank Details</p>
<p>* Proof of Address to be evidenced by copy of any one of these documents - (a) Documents registered with Registering Authority (b) Bank Statement (c) Agreement for Sale or Leave Licence (d) Acknowledgement of Income Tax Returns (e) Electricity or Telephone Bill (not more than 3 months old)</p>	

INSTRUCTIONS/ CHECK LIST FOR FILLING KYC FORM

1. All details to be filled in CAPITAL letters and in English. The account holder/s will have to paste their latest photograph in the relevant boxes provided and sign across it i.e. half on photo and half on the page.
2. AOF & all relevant documents are to be signed by the account holder/s in case of Individual Accounts and by Authorised Signatory/ies under Rubber Stamp in case of Non Individual BO accounts. A Power of Attorney holder cannot open an account i.e. sign AOF and agreements on behalf of the account holder/s
3. Details in columns marked * in the KYC must be furnished mandatorily. That section of AOF which is not relevant & kept blank by the applicant is to be struck off as "N.A"
4. Signatures can be in English or Hindi or any other languages contained in the 8th Schedule of the Constitution of India. Thumb impressions and signatures in languages other than those mentioned above must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her Official Seal along with their Name & Address. Thumb impression to be obtained - Left thumb in case of Male and Right thumb in case of Female.
5. Name & address of account holder/s mentioned on KYC form should match with the documentary proof submitted.
6. Documents other than SEBI approved documents as provided for in the checklist will not be accepted. Copies of all documents submitted by account holder/s should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list (please refer Point C)
7. Every cancellation/correction/overwriting must be authenticated (full signature) by all holders. Use of white ink will render the document invalid. So please avoid cancellations & use of white ink on the AOF and DP-BO Agreement.
8. Self attested copy of PAN card of all holders is mandatory including Karta / Promoters / Directors / Trustees / Whole-time Directors / Authorised Signatories / Managing Committee Members
9. In case photo on PAN Card is not legible, submit alternate proof of identity, from the list of SEBI approved documents, which is clear & legible
10. If proof of identity or address is in regional or foreign language, then translation into English is required.
11. If Correspondence & Permanent / Registered Office addresses of Individual / Non-Individual BOs are different, then proofs for both addresses have to be submitted.
12. If BO wishes to receive correspondence at address of some other person or entity, then SEBI approved proof of identity and address documents (as given in the checklist) in the name of such other persons or entities may be provided. In all such cases, the address of such person or entity must be mentioned in the AOF and also permanent address of the applicant, other than that given as correspondence address must be provided.
13. ECS of Dividend / Interest / Redemption Amount / IPO Refund is mandatory for locations notified by SEBI from time to time. So please mention your Bank Account No, MICR Code & IFSC Code correctly
14. In case of Individual BOs, Nomination or Dissent for Nomination is to be provided mandatorily. Joint-holders cannot be appointed as Nominee or Guardian of Minor Nominee.
15. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, Senior Government / Judicial / Military Officers, Senior Executives of State Owned Corporations, Important Political Party Officials, etc.
16. DP-BO Agreement to be signed by all holders in case of joint Demat account.
17. POA may be notarized in the local place where the applicant resides. POAs given to PL is specific POA authorizing operation of BO's demat account for limited purpose only
18. SMS Alert facility is a service provided by CDSL directly and applicants opting for POA facility for their demat accounts must mandatorily register for this facility. In case applicant does not have his/her own Mobile number, he/she can provide that of any other person at his/her discretion to receive SMS alerts on his/her behalf.
19. Easi facility is provided by CDSL whereby a demat account holder can view his account through Internet. It is advisable that account holders familiar with Internet should opt for this facility. For more details on Easi, check on www.cdslindia.com
20. Sole proprietor must make the application in his individual name & capacity.

21. For Non-Residents and Foreign Nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of Passport/PIO Card/OCI Card and overseas address proof is mandatory.
22. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
23. In case of HUF BO Account:
 - The account name shall be as it appears in the PAN Card
 - HUF account cannot be opened with joint holders
 - HUF Accounts cannot appoint a Nominee nor can an HUF account be appointed as Nominee
 - The Karta shall sign under HUF stamp
24. In case POA is given to some other entity to operate the HUF's BO account, the POA shall be signed by all members of the HUF account in addition to the Karta
25. In case of Minor's BO Account:
 - The Minor shall be the first & sole holder in the account i.e. there shall not be any joint holder
 - Guardian of the minor to sign at all places where minor has to sign.
 - Photograph of Guardian to be affixed alongside Minor's photo.

B. EXEMPTIONS/CLARIFICATIONS TO PAN

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities / multilateral agencies exempt from paying taxes/filing tax returns in India
4. SIPs of Mutual Funds upto Rs. 50000/- p.a.
5. Custodians shall verify the PAN Card Details with Original PAN Card and provide duly certified copies of such verified PAN Cards to the DPs in case of Institutional clients namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral & Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA & Public Financial Institutions as defined under Section 4A of The Companies Act, 1956.

Note: Sufficient documentary evidence in support of such claims will have to be provided.

C. LIST OF PEOPLE AUTHORIZED TO ATTEST THE DOCUMENTS:

1. Notary Public
2. Gazetted Officer
3. Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
4. In case of NRIs & Foreign Body Corporates, Authorized Officials of Overseas Branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy / Consulate General in the country where the applicant resides or runs its business are permitted to attest the documents.

D. SUB-BROKERS, AUTHORISED PERSONS AND BRANCHES

1. In case of Individual & Non-Individual BOs, the person authorised the PL or registered Sub broker / Authorised persons should personally verify photograph affixed on AOF and on proof of identity documents of Individual / Karta of HUF / Authorised Signatories / Whole-time Directors and must sign on the documents collected as proof of identity for all of them to the effect that "Identity of applicant is verified in person". Details of person authorised to carry out In-person verification should be duly filled.
2. All copies of proof documents must be stamped as "Verified against Original" and signed by person authorised the PL or registered Sub broker / Authorised persons under PL / Sub broker / Authorised persons Stamp with his name mentioned alongside his signature.

SIGNATURES	
<input type="checkbox"/> For Clients, please put your full Signature in	<div style="display: flex; justify-content: space-around; align-items: center;"> <div style="border: 1px solid #0070C0; width: 150px; height: 30px; background-color: #D9EAD3;"></div> <div style="text-align: center;">and initial in</div> <div style="border: 1px solid #0070C0; width: 80px; height: 30px; background-color: #D9EAD3; transform: rotate(-5deg);"></div> </div>

ADDITIONAL KYC FORM FOR OPENING DEMAT ACCOUNT

INDIVIDUAL ACCOUNT



POWERING YOUR FINANCIAL GROWTH

Prabhudas Lilladher Pvt. Ltd.

Registered Office : 3rd Floor, Sadhana House, 570 P B Marg , Worli, Mumbai 400 018
 DP Team Tel: +91 22 6632 2456

Member – PMS: SEBI Registration Number: INP000001231

BSE - CM & TM of Cash Segment: SEBI Regn. No. INB 010502855 Dtd. May 17, 2000 &
 Derivative Segment: SEBI Regn. No. INF010502855 Dtd. June 2, 2000

NSE - CM & TM of Cash Segment: SEBI Regn. No. INB 230597738 Dtd. May 27, 1994,
 Derivative Segment: SEBI Regn. No. INBF 230597738 Dtd. May 25, 2000 & Currency
 Derivatives Segment: SEBI Regn. No. INE 230597738 Dtd. Aug 25, 2008

CDSL DP SEBI Regn. No. - IN-DP-CDSL-14-99 Dtd. May 14,1999; **DP ID - 12011300**

Website - www.plindia.com

INDIVIDUAL

Application No.								Date	d	d	m	m	y	y	y	y
DP ID	1	2	0	1	1	3	0	0	Client ID	0	0					

(To be filled up by the Depository Participant)

Trading Code																
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(To be filled by Applicant in BLOCK LETTERS in English)

I / We request you to open a demat account in my / our name as per following details

Details of Sole / First holder

Name

PAN

Whether KYC Application has been registered with a KYC Registration Agency (KRA)? Yes No#

Nature of Business/ Profession

Details pertaining to any action taken in the past by any Regulatory Authority

Details of Second holder

Name

PAN

Whether KYC Application has been registered with a KYC Registration Agency (KRA)? Yes No#

Nature of Business/ Profession

Details pertaining to any action taken in the past by any Regulatory Authority

Details of Third holder

Name

PAN

Whether KYC Application has been registered with a KYC Registration Agency (KRA)? Yes No#

Nature of Business/ Profession

Details pertaining to any action taken in the past by any Regulatory Authority

Please submit the duly filled KYC application form if applicant has not registered his KYC before. If the applicant is already registered, please do not submit the same again.

Name @		
Nature <input type="checkbox"/> Proprietary Concern	<input type="checkbox"/> Partnership Firm	<input type="checkbox"/> Association of Persons (AOPs)
<input type="checkbox"/> Unregistered Trust	<input type="checkbox"/> Unregistered Society	
<input type="checkbox"/> Others (specify) _____		
@ Please mention above the name of Proprietary Concern, Partnership Firm, AOP, Unregistered Trust etc in case their BO account is opened in the name of Individual/s (i.e. in the name of Proprietor, Partners, Trustees etc)		

TYPE OF ACCOUNT* (Tick whichever is applicable)

<input type="checkbox"/> INDIVIDUAL	<input type="checkbox"/> NRI	<input type="checkbox"/> FOREIGN NATIONAL
<input type="checkbox"/> Individual Resident	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> Foreign National
<input type="checkbox"/> Individual Director	<input type="checkbox"/> NRI Non Repatriable	<input type="checkbox"/> Foreign National Depository
<input type="checkbox"/> Individual Director Relative	<input type="checkbox"/> NRI Depository Receipt	Receipt
<input type="checkbox"/> Individual Promoter	<input type="checkbox"/> NRI Repatriable Promoters	<input type="checkbox"/> Others (PI Specify) _____
<input type="checkbox"/> Individual Margin Trading (Mantra) A/c	<input type="checkbox"/> NRI Non-Repatriable Promoters	
<input type="checkbox"/> Others (PI Specify) _____	<input type="checkbox"/> Others (PI Specify) _____	

GUARDIAN DETAILS (to be filled only if Sole applicant is a Minor)

Name _____

PAN _____ Relationship with the Applicant _____

Whether KYC Application has been registered with a KYC Registration Agency (KRA)? Yes No#

Nature of Business/ Profession _____

Details pertaining to any action taken in the past by any Regulatory Authority _____

DP ACCOUNT STATEMENT REQUIREMENT* (Tick whichever is applicable)

As per SEBI Regulation \$ Daily Weekly Fortnightly Monthly

I/We request you to send Transaction-cum-Holding Statement through Internet at the Email ID of the First/Sole Holder's as registered with KRA Yes No

\$ As per SEBI Regulation, DP shall send statement once at the end of every month if there has been even a single transaction during the month and in any event at the end of each quarter in case of only holdings. Quarterly statements will not be given in respect of demat accounts with neither any holdings nor transactions.

AUTOMATIC CREDIT * (Tick whichever is applicable)

I /We instruct the DP to receive each & every credit in my / our account Yes No
(If not marked, default option would be 'Yes')

BANK DETAILS *

I /We wish to receive Dividend / Interest directly in to my/our Yes No
Bank Account mentioned below through ECS (If not marked, default option would be 'Yes')

Bank Name	
Branch Name	
Bank Branch Address	City _____ State _____ Pin code _____
MICR Code (9 Digit) #	
IFSC Code (11 characters) #	
Account Type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> Other (specify) _____
Account Number	

PROOF OF BANK DETAILS SUBMITTED* _____

(For list of documents accepted as proof of Bank Details please refer checklist given on page no 1)

Please submit copy of cheque for confirming MICR & IFSC Code of the Branch

SMS ALERT FACILITY (Mandatory in case you are giving POA)*

Yes, Mobile No. _____ No

(If yes, please refer to terms and conditions given on Page no 17-18)

EASI FACILITY *(Internet viewing facility)

Yes No

(If yes, please fill Registration Form cum Consent Letter for Easi Facility on Page nos. 19)

DP CHARGES PAYMENT OPTIONS* (Tick whichever is applicable)

- Transfer DP Debit to my Trading Account _____ on regular Basis
 Imprest Amount for Rs. _____ enclosed vide cheque no. _____ dated __/__/201__
 I/ We will deposit in your Bank Account as and when Bill is raised (DP to be informed immediately on deposit)

Note: In case of failure or delay in clearing debits, the BO account will be frozen for all operations till such time all payments are cleared and PL-DP will not be held responsible for resultant auctions / losses, if any.

NOMINATION DETAILS* (For Demat Account)

- I/We do not wish to nominate any one for this demat account
 I/We wish to nominate the following person who is entitled to receive security balances lying in my/ our account, particulars whereof are given below, in the event of my / our death

Name of the Nominee _____ Relationship with the Nominee _____

PAN of Nominee: _____

Date of Birth of Nominee: [D,D] [M,M] [Y,Y,Y,Y]

(Mandatory if Applicant is a Sole Holder of BO account)

(Mandatory if Nominee is a Minor)

Address of Nominee _____

Tel. of Nominee _____ Email ID of Nominee _____

As the nominee is a minor as on date, to receive the securities in this account on behalf of the Nominee, in event of death of the Sole holder / all joint holders, I/We appoint following person to act as Guardian

Name of the Guardian _____ Age _____

Relationship of Guardian with the Nominee _____

Address of the Guardian _____

Tel. of the Guardian _____ Email ID of the Guardian _____

This nomination shall supersede any prior nomination made by me/ us and also any testamentary document executed by me/ us.

WITNESSES (Only applicable in case the BO(s) has/have made nomination)

Name _____ Name _____

Signature _____ Signature _____

Address _____ Address _____

***Mandatory fields**

DECLARATION

I / We have read the terms & conditions in the DP-BO Agreement, instructions, tariff and additional instructions and agree to abide by them and be bound by the bye-laws of CDSL as are in force from time to time. I / We agree and undertake to intimate PL of any change (s) in the details / particulars mentioned by me / us in this form.

I / We hereby declare that the details furnished above are true and correct to the best of my / our knowledge and belief as on the date of making this application. In case of the above information being false or untrue or misleading or misrepresenting or suppression of any material information, I / We may be held liable for the same and my / our BO Account shall be liable for termination and further action.

I / We hereby declare that I / we will not do any benami or suspicious transaction in my / our account.

SIGNATURES* (Please sign in Black Ink)

	First / Sole Holder	Second Holder	Third Holder
Name			
Signatures			
Existing A/c with PL-DP#			

Please mention your demat account no. with PL - DP, if any.

FOR DP OFFICE USE ONLY

Form Received on	____ / ____ / 20__	Entered by
Form Processed on	____ / ____ / 20__	Verified by
SMS Processed on	____ / ____ / 20__	Setup by

NOMINATION FORM ACCEPTED & REGISTERED VIDE REGISTRATION No. _____ DATED ____/____/201__

FOR PRABHUDAS LILLADHER PRIVATE LIMITED

Signature of DP Authorised Signatory

ADDITIONAL KYC FORM FOR OPENING DEMAT ACCOUNT

NON-INDIVIDUAL ACCOUNT



POWERING YOUR FINANCIAL GROWTH

Prabhudas Lilladher Pvt. Ltd.

Registered Office : 3rd Floor, Sadhana House, 570 P B Marg , Worli, Mumbai 400 018

DP Team Tel: +91 22 6632 2456

Member – PMS: SEBI Registration Number: INP000001231

BSE - CM & TM of Cash Segment: SEBI Regn. No. INB 010502855 Dtd. May 17, 2000 &

Derivative Segment: SEBI Regn. No. INF010502855 Dtd. June 2, 2000

NSE - CM & TM of Cash Segment: SEBI Regn. No. INB 230597738 Dtd. May 27, 1994,

Derivative Segment: SEBI Regn. No. INBF 230597738 Dtd. May 25, 2000 & Currency

Derivatives Segment: SEBI Regn. No. INE 230597738 Dtd. Aug 25, 2008

CDSL DP SEBI Regn. No. - IN-DP-CDSL-14-99 Dtd. May 14,1999; **DP ID - 12011300**

Website - www.plindia.com

NON-INDIVIDUAL

Application No.									Date	d	d	m	m	y	y	y	y
DP ID	1	2	0	1	1	3	0	0	Client ID	0	0						

(To be filled up by the Depository Participant)

Trading Code																	
--------------	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(To be filled by Applicant in BLOCK LETTERS in English)

I / We request you to open a demat account in my / our name as per following details

Details of Sole / First holder

Name

PAN

Whether KYC Application has been registered with a KYC Registration Agency (KRA)? Yes No#

Nature of Business/ Profession

Details pertaining to any action taken in the past by any Regulatory Authority

Details of Second holder

Name

PAN

Whether KYC Application has been registered with a KYC Registration Agency (KRA)? Yes No#

Nature of Business/ Profession

Details pertaining to any action taken in the past by any Regulatory Authority

Details of Third holder

Name

PAN

Whether KYC Application has been registered with a KYC Registration Agency (KRA)? Yes No#

Nature of Business/ Profession

Details pertaining to any action taken in the past by any Regulatory Authority

Please submit the duly filled KYC application form if applicant has not registered its KYC before. If the applicant has already registered, please do not submit the same again.

TYPE OF ACCOUNT* (Tick whichever is applicable)

- Body Corporate Registered Trust Registered Society
 Bank FI FII
 Mutual Fund OCB Clearing Member (CM)
 Others _____
(specify)

REGISTRATION & OTHER DETAILS (CM / FIs / FIIs / Banks / OCBs to fill whichever is applicable)

SEBI Registration No. _____ Registration Date _____
(if applicable)
RBI Registration No. _____ Registration Date _____
(if applicable)
Registered in India Others (specify) _____
Nature of Business / Services provided _____

CLEARING MEMBER DETAILS (to be filled by CMs only)

Name of Stock Exchange _____
Name of CC / CH _____
Clearing Member ID _____ Trading Member ID _____

DP ACCOUNT STATEMENT REQUIREMENT* (Tick whichever is applicable)

- As per SEBI Regulation \$ Daily Weekly Fortnightly Monthly

We request you to send Transaction-cum-Holding Statement through Internet
at the Email ID stated in First/Sole Holder's as registered with KRA Yes No

\$ As per SEBI Regulation, DP shall send statement once at the end of every month if there has been even a single transaction during the month and in any event at the end of each quarter in case of only holdings. Quarterly statements will not be given in respect of demat accounts with neither any holding nor transaction.

AUTOMATIC CREDIT * (Tick whichever is applicable)

We instruct the DP to receive each & every credit in our account Yes No
(If not marked, default option would be 'Yes')

BANK DETAILS *

We wish to receive Dividend / Interest directly in to our Yes No
Bank Account mentioned below through ECS (If not marked, default option would be 'Yes')

Bank Name	_____
Branch Name	_____
Bank Branch Address	_____
	City _____ State _____ Pin code _____
MICR Code (9 Digit) #	_____
IFSC Code (11 characters) #	_____
Account Type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> Other (specify) _____
Account Number	_____

PROOF OF BANK DETAILS SUBMITTED*

(For list of documents accepted as proof of Bank Details please refer checklist given on page no 1)

Please submit copy of cheque for confirming MICR & IFSC Code of the Branch

SMS ALERT FACILITY (Mandatory in case you are giving POA)*

Yes, Mobile No. _____ No

(If yes, please refer to terms and conditions given on Page no 17-18)

EASI FACILITY (Internet viewing facility)*

Yes No

(If yes, please fill Registration Form cum Consent Letter for Easi Facility on Page nos. 19)

DP CHARGES PAYMENT OPTIONS* (Tick whichever is applicable)

- Transfer DP Debit to our Trading Account _____ on regular Basis
- Imprest Amount for Rs. _____ enclosed vide cheque no. _____ dated __/__/201__
- We will deposit in your Bank Account as and when Bill is raised (DP to be informed immediately on deposit)

Note: In case of failure or delay in clearing debits, the BO account will be frozen for all operations till such time all payments are cleared and PL-DP will not be held responsible for resultant auctions / losses if any.

DECLARATION

We have read the terms & conditions in the DP-BO Agreement, instructions, tariff and additional instructions and agree to abide by them and be bound by the bye-laws of CDSL as are in force from time to time. I / We agree and undertake to intimate PL of any change (s) in the details / particulars mentioned by me / us in this form.

We hereby declare that the details furnished above are true and correct to the best of my / our knowledge and belief as on the date of making this application. In case of the above information being false or untrue or misleading or misrepresenting or suppression of any material information, I / We may be held liable for the same and my / our BO Account shall be liable for termination and further action.

We hereby declare that I / we will not do any benami or suspicious transaction in my / our account.

SIGNATURES (Please sign in Black Ink)*

	First / Sole Holder	Second Holder	Third Holder
Name			
Signatures			
Existing A/c with PL-DP#			

Please mention your demat account no. with PL - DP, If any.

FOR DP OFFICE USE ONLY

Form Received on	____ / ____ / 20__	Entered by
Form Processed on	____ / ____ / 20__	Verified by
SMS Processed on	____ / ____ / 20__	Setup by

*Mandatory fields

**COMMON DOCUMENTS FOR
INDIVIDUAL & NON-INDIVIDUAL BO ACCOUNTS**

USEFUL DO'S / DONT'S FOR BOs (Voluntary)

Based on our long working experience as a Stockbroker & Depository Participant, we are of strong view that if a BO takes few precautions to safeguard his securities, unforeseen & undesirable situations may be avoided. We request you to meticulously follow the below mentioned instructions to avoid problems at a later stage.

DO'S

Do ensure to receive a Transaction cum holding statement of your BO a/c every month if you have done any transaction during the month and once a quarter if there has been no transactions in your DP a/c. Please ensure that your holding and transaction statement is received and reconciled periodically. In case of any discrepancy, contact our DP Team immediately for clarification.

Do contact our DP Team for queries / clarifications / grievances at 3rd floor, Sadhana House, 570, P. B. Marg, Behind Mahindra Towers, Worli, Mumbai 400018. Telephone No.: 66322456; Fax No.: 66322459; E mail: grievances-dp@plindia.com

Do login at www.cdslindia.com to avail Easi facility of your DP a/c within 90 days from date of account opening failing which your Easi User ID will be cancelled by CDSL. Details of Easi User ID is available in our DP Welcome Letter while password would be received by you from CDSL directly at your e-mail ID registered with us at the time of account opening. (Easi facility is provided by your depository, CDSL, at their web-site whereby you can view transactions & holdings in your DP account through Internet 24x7.)

Do ensure that complete & correct address is provided to us so as to avoid return of documents. Any change in your Correspondence / Permanent Address, Telephone / Mobile number, E-mail ID, Bank details etc. must be intimated to our DP Team immediately with modification form & relevant proofs. All documents including Delivery/Debit Instruction slip (DIS) booklet & transaction statements will be sent to your correspondence address directly by us.

Do ensure to give your own Mobile number & E-mail ID for SMS Alerts & Easi facility in order to safeguard your BO account from being misused

Do ensure that each slip on DIS booklet issued to you has been pre-stamped with a serial number and your demat account number. In case you receive any booklet / slip without serial number or your account number, please return the same to us immediately.

Do ensure to keep DIS booklet of your BO a/c in safe custody just like your cheque book. In case of loss of the said booklet or any slip, please inform our DP Team immediately in writing for Stop-transfer of lost booklet / slips.

Do ensure that requisition for fresh DIS booklet is given on the Requisition Slip attached to your previous DIS booklet and is signed by all holders. As per SEBI/CDSL instructions, fresh booklet has to be given only against such Requisition Slips

Do mention all details accurately and in a legible handwriting. Avoid over-writing, cancellations, corrections, changing of the name and quantity of securities or Counter BO IDs. Authorize every correction, over- writing or cancellation on DIS by all holders signing in full against the same.

Do fill in all details of the transaction like scrip name, quantity in figures & words, number of instructions used, counter-party demat a/c number before handing your DIS to us or any third party.. If there is space for multiple instructions and it is not used fully, please strike out blank space so as to avoid its misuse.

Do contact our DP Team for freeze / unfreeze of your BO a/c to enable them to send necessary forms to you in case you wish to freeze your account (e.g. if you are going abroad etc.). Freeze/ Unfreeze of your BO a/c is possible only on receipt of duly filled forms signed by all holders

Do record folio number, certificate number & distinctive numbers of securities before sending physical securities to us for demat. This information will be required for issue of duplicate share certificates in case your parcel containing certificates is lost in transit.

Do ensure that all holders sign documents like DIS slip, Demat Request form, Account Modification or Account Closure form etc. in case of BO accounts with joint holder/s

Do ensure that your instructions are received by us at least 1 day before pay-in time of the relevant exchange so as to avoid auctions due to non delivery of securities

DONTS

Do not authorise any other person including our Employees / Sub-broker / Authorised Person to operate your BO account on your behalf.

Do not leave your DIS booklet or blank signed slips with any third party or your broker/sub-broker.

Do not sign blank DIS as it is equivalent to a bearer cheque.

Do not share your User-ID or Password with any third party

Do not accept Transaction and/or Holding statement of your DP a/c given by any persons other than that sent by our DP Team from Mumbai.

Do not execute Suspicious / Benami transactions failing which we will be compelled to report such transactions to Director FIU-IND, New Delhi under the provisions of Prevention of Money Laundering Act.

I/We have read and understood the abovementioned Do's & Don'ts for the Client and agree to abide by the same.

	First / Sole Holder	Second Holder	Third Holder
Name			
Signatures*			

**Note: In case of individual demat account this document to be signed by the Account-holder and not by his or her Authorised Person or POA Holder.*

In case of non- individual demat account this document to be signed by the Authorised Signatory(ies) only.

Name of the Jt. MD:

Mr. Dhiren P. Sheth

Joint Managing Director

Tel No. (022) 6632-2321

Email id: jointmd@plindia.com

Name & Designation of Compliance Officer:

Mr. Uday Kajaria,

President Compliance & Company Secretary

Tel No. (022) 6632-2400

Email id: udaykajaria@plindia.com

For any DP related grievance / dispute you may
Tel. No. (022) 6632-2456 or contact our Compliance Officer.

Email at grievance-dp@plindia.com or at

BANK VERIFICATION LETTER

(Voluntary)

Date : _____

To,
Prabhudas Lilladher Pvt. Ltd.
3rd floor, Sadhana House
570, P. B. Marg, Worli,
Mumbai 400 018.

This is to certify that Mr. / Ms. / M/s. _____ (name of the client),
is holding a Savings / Current account no. _____
jointly with _____ with our branch since _____. We further
confirm that the photograph herein bears true likeness to the identity of the above-mentioned person and that the
address of the person is as given below. The MICR Code of the branch is _____

Photograph (Bank Stamp across photograph)	Signature of the client	Address (Bank Stamp across address)

Name of Bank Manager: _____

Employee Code: _____

Signature: _____

Attestation Date: _____ / _____ / 20____

Stamp of the Bank & the Branch

Note:

- 1) As per SEBI circular dtd. 22nd August 2011, only Bank Manager is authorised to give attestation/ verification. This letter will be rendered invalid if done by any other staff of the Bank.**
- 2) Bank verification for joint BO Account holders may be provided in the same format as above.**

TERMS & CONDITIONS FOR RECEIVING SMS ALERT FROM CDSL

(MANDATORY IF BO OPTS FOR POA FACILITY)

DEFINITIONS:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'PL-DP' means depository division of Prabhudas Lilladher Pvt. Ltd. having its office at 3rd floor, Sadhana House, Worli, Mumbai 400018
4. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
5. SMS means "Short Messaging Service"
6. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
7. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
8. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

AVAILABILITY:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period /indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as maybe warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

RECEIVING ALERTS:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.

4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and / or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an on going confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and PL-DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cDSLindia.com or to PL-DP at grievances-dp@plindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s)receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for nonavailability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

FEES:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

DISCLAIMER:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

LIABILITY AND INDEMNITY:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

AMENDMENTS:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

GOVERNING LAW AND JURISDICTION:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number. I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time. I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP. I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

	First / Sole Holder	Second Holder	Third Holder
Name			
Signatures*			

**Note: In case of individual demat account this document to be signed by the Account-holder and not by his or her Authorised Person or POA Holder.*

In case of non- individual demat account this document to be signed by the Authorised Signatory(ies) only.



REGISTRATION FORM CUM CONSENT LETTER FOR EASI FACILITY

(Electronic Access to Securities Information)

(TO BE FILLED ONLY IF BO OPTS FOR INTERNET VIEWING FACILITY)

Name of the Beneficial Owner/s	1.	
	2.	
	3.	
Beneficial Owner ID (to be filled by DP)	1	2 0 1 1 3 0 0 0 0
User ID (minimum 6 characters) *		
First / Sole Holder's E-mail ID *		
First / Sole Holder's Telephone No. *		
First / Sole Holder's Mobile No. *		
DP Name	PRABHUDAS LILLADHER PVT. LTD.	
DP ID	12011300	
DP Address	3rd Floor, Sadhana House, 570, P. B. Marg, Worli, Mumbai 400 018, Maharashtra, India	

(* - to be furnished mandatorily by the account holder)

I / We would like to register to easi service of CDSL. I/We are aware that easi services are available to me / us which only enables me/us to view the security balances lying in the said accounts. **As "easi" provides a facility to view, print / download account statement and transaction details, I / we opt not to receive Transaction cum Holding Statement henceforth in physical form.** I/We are aware that you shall send us physical statement of account at least once in a quarter. However, if statements are required by me / us in physical form at such intervals as specified by me, I / we agree to make payment of the necessary fees/charges, if any, as may be specified by you from time to time.

	First / Sole Holder	Second Holder	Third Holder
Name			
Signatures*			

**Note: In case of individual demat account this document to be signed by the Account-holder and not by his or her Authorised Person or POA Holder.*

In case of non- individual demat account this document to be signed by the Authorised Signatory(ies) only.

***Mandatory fields**

TERMS AND CONDITIONS FOR EASI REGISTRATION

1. **Facilities through Easi** (*Electronic Access to Securities Information*) - Easi provides DP/RTA limited facility as compared to CDAS and services, which CDSL may decide to provide from time to time in its absolute discretion. The availability / non-availability of a particular service shall be advised either through email, web site of CDSL or through written communication
2. **Application:** The application for availing of the Easi service and the registration thereof shall be made in physical form (*i.e., by a hard copy*). The acceptance or acknowledgment of receipt of the application by CDSL does not automatically imply acceptance of the application for availing of the Easi service. CDSL shall be entitled at its sole discretion to either accept or reject an application. CDSL will from time to time advise about the Internet Software (*such as Browser*), required for using Easi. There will be no obligation on CDSL to support all versions of the Internet Software

PART A – REGISTRATION PROCEDURE

1. In order to get registered to Electronic Access to Securities Information a BO has to provide certain basic information like BO ID, Login ID, Email address, Telephone/Mobile Nos. etc. at Electronic Access to Securities Information.
2. The Login ID as entered would be used by BO for accessing Electronic Access to Securities Information. The Login ID would be accepted if it is not already registered with Electronic Access to Securities Information.
3. After filling up the necessary details at Electronic Access to Securities Information, BO has to print and sign the Registration Form. The registration form should be compulsorily signed by all the account holders.
4. The Registration Form should be submitted to the Depository Participant (DP).
5. If details and signatures on the Registration Form are found in order, the Login ID of the BO would be activated to access Electronic Access to Securities Information.
6. On activation a password would be generated for the Login ID, the password would be mailed to the Email ID of the BO as mentioned in the BO registration Form. For the purpose of security it is advised that BO should provide a secure Email ID which is accessed by him only. Do not provide Email ID which is accessed by any other person than the BO.
7. On receiving password a BO can use Electronic Access to Securities Information facility by accessing the internet site <http://cdslindia.com>. A BO is required to enter the Login ID and Password.
8. A BO is required to enter the Login ID and Password.
9. If a BO is logging into Electronic Access to Securities Information for the first time, he/she is required to change the password immediately.
10. If a BO forgets password for Electronic Access to Securities Information, it can request for a change in the password by following the 'Forgot your Password procedure'. The existing contract would be valid after the Replacement/ change of password.
11. BO is requested to take following measures in order to make Electronic Access to Securities Information more secure and safe.
 - Change the password immediately after first login.
 - Do not use common/dictionary words for password.
 - Password should be minimum 8 digits containing alphanumeric characters.
 - Keep your password confidential, never reveal/share the same with anyone.
 - Do not write your password.
 - While entering the password for accessing Electronic Access to Securities Information make sure that no one can see the same.
 - Before moving to the other website logout from Electronic Access to Securities Information.
 - After accessing the Electronic Access to Securities Information immediately delete all files in the folder "C:\Windows\Temporary Internet Files".
 - BOs are advised to access Electronic Access to Securities Information from their own PC, as third party PCs may contain software to reveal password.
 - Change your password on regular intervals.




PART B – FACILITIES THROUGH

Electronic Access to Securities Information provides a BO a facility to enquire about the balances in their Demat Account, details about transactions and other services, which CDSL may decide to provide from time to time in its absolute discretion. The availability / non-availability of a particular service shall be advised either through email, web page of CDSL or written communication



PART C – TERMS AND CONDITIONS

Definition: In this document, the following words and phrases have the meaning set opposite them unless the context indicates otherwise:

- CDSL:** means Central Depository Services (India) Limited, a company incorporated under the Companies Act, 1956 and registered under the Depositories Act 1996 with Securities and Exchange Board of India.
- Beneficiary Owner** (BO) Means a an individual/corporate/huf who has opened a Demat account with Depository Participants of CDSL.
- Electronic Access to Securities Information (Easi)** : refers to the trade name of CDSL's Internet Services which provides A DP/RTA ability to enquire about the balances in Demat Account, details about transactions and other services, which CDSL may decide to provide from time to time in its absolute discretion. â Internet: refers to a Global network of inter connected Computers network, each using transmission control protocol
- Internet:** refers to a Global network of inter connected Computers network, each using transmission control protocol standard network interconnection protocols as is used to transmit data that is directly or indirectly delivered to a Computer.
- Subscriber:** means a DP/RTA identified and registered as a subscriber to the Electronic Access to Securities Information service.
- Personal Information:** refers to the information about the Demat Account that is stored on the records of CDSL and may be retrieved in perceivable form.

Application: The application for use of the  service and its registration thereof shall be made in physical form (*i.e., by a hard copy*). The acceptance or acknowledgment of receipt of the application by CDSL does not automatically imply acceptance of the application for use of the  CDSL shall be entitled at its sole discretion to either accept or reject an application. CDSL will from time to time advise about the Internet Software (*such as Browser*), required for using . There will be no obligation on CDSL to support all versions of the Internet Software.

Mailing Address: All correspondence / delivery by CDSL shall only be made at the address and / or e-mail address as registered with CDSL.

Liability: CDSL shall not be liable to BO for any transactions occurring through the use of Electronic Access to Securities Information services and the BO hereby fully indemnifies and hold CDSL harmless against any action, suit, proceeding initiated against it or any loss, cost or damage incurred by it as a result thereof. CDSL shall under no circumstance shall be liable to the user if the access is not available in the desired manner for reasons including but not limited to natural calamities, legal restraints, faults in the telecommunication network or network failure or any other reason beyond the control of CDSL. Under no circumstance shall CDSL be liable for damages whatsoever whether such damages are direct, indirect, incidental consequential and irrespective whether any claim is based on loss of revenue, interruption of business, or any loss of any character or nature and whether sustained by the user or any other person. Illegal or improper use of the  service shall render the user liable for payment of financial charges as decided by CDSL and will result in suspension of the access to the  service.

Disclosure of Personal Information: The BO agrees that CDSL or its agents may hold and process its Personal Information on computer or otherwise in connection with Electronic Access to Securities Information as well as for statistical analysis. The BO also agrees that CDSL may disclose, in strict confidence, to other institutions, such Personal Information as may be reasonably necessary for reasons inclusive of but not limited to the following:

- To the DP where the BO is having its demat account
- For participation in any telecommunication network
- In compliance with a legal directive &
- For fraud prevention purposes, etc.

Proprietary Rights: The BO acknowledges that the software underlying Electronic Access to Securities Information as well as other Internet related software required for accessing **Easi** is the legal property of the respective vendors. The permission given by CDSL to access **Easi** will not convey any proprietary or ownership rights in the above software. The BO shall not attempt to modify, translate, disassemble, decompile or reverse engineer the software underlying **Easi** or create any derivative product based on that software.

Change of Terms and Conditions: CDSL has the absolute discretion to amend or supplement any of the terms and conditions at any time and will endeavor to give prior notice of fifteen days wherever feasible for such changes. CDSL may introduce new services within Electronic Access to Securities Information from time to time. The existence and availability of the new services will be notified to the BO as and when they become available. The changed terms and conditions applicable to the new features in service shall be communicated to the BO. By using these new services, the BO agrees to be bound by the revised terms and conditions applicable.

Non-Transferability: The right of use of Electronic Access to Securities Information to a BO is not transferable under any circumstance and shall be used by the BO only.

Termination of Electronic Access to Securities Information Service: The BO may request for termination of the Electronic Access to Securities Information service any time by giving a written notice of atleast 15 days to CDSL. CDSL may withdraw the **Easi** service anytime provided the BO is given reasonable notice under the circumstances. The closure of the BO Pool Accounts of the BO will automatically terminate the **Easi** service. CDSL may suspend or terminate **Easi** service without prior notice if the BO has breached any of the terms and conditions or CDSL learns of the death, bankruptcy or lack of legal capacity of the BO.

Notices: CDSL and the BO may give notices in the following manner under these Terms and Conditions:

- In writing by delivering them by hand or by sending them by post to the last address given by the BO and in the case of CDSL to the address mentioned below: Central Depository Services (India) Ltd.(CDSL) Phiroze Jeejeebhoy Towers, 28th Floor, Dalal Street, Mumbai - 400 023.

- In addition, CDSL may also publish notices of general nature, which are applicable to all BOs using Electronic Access to Securities Information on its website. Such notices will have the same effect as a notice served individually to each BO

Governing Law: These terms and conditions and / or the use of the services provided through Electronic Access to Securities Information shall be governed by the laws of the Republic of India and no other nation. The BO and CDSL agree to submit to the exclusive jurisdiction of the Courts located in Mumbai, India as regards any claims or matters arising under these terms and conditions. Any dispute or difference arising between the BO and CDSL shall be settled by mutual consultation / discussion, failing which the same shall be referred to arbitration. The Arbitration proceedings shall be governed and conducted in accordance with the Indian Arbitration and Conciliation Act, 1996 and that the Arbitration proceedings shall take place in Mumbai. CDSL accepts no liability whatsoever, direct or indirect, for non-compliance with the laws of any country other than the Republic of India. The mere fact that **Easi** service can be accessed through Internet by a BO in a country other than India shall not be interpreted to imply that the laws of the said country govern these terms and conditions.

General: The clause headings in this agreement are only for convenience and do not effect the meaning of the relative clause. The BO shall not assign this agreement to anybody else. CDSL may subcontract and employ agents to carry out any of its obligations under this agreement.

Disclaimer: All information provided in Electronic Access to Securities Information is obtained by CDSL from sources believed by CDSL to be accurate and reliable. Because of the possibility of human and technical error as well as other factors, CDSL is not responsible for any errors or omissions. The development of the products and services of CDSL is a continuous process and published information may not be upto date. The formats, update frequency and retention period of the information will be decided at the sole discretion of CDSL. It is important to check the current position with concerned Depository Participant. All information is provided on 'as is' basis without warranty of any kind. CDSL makes no representation and disclaim all express, implied and statutory warranties of any kind to the user and/or any third party including warranties as to accuracy, timeliness, completeness, merchantability or fitness of the information for any particular purpose. All proprietary rights in the information received shall remain the property of CDSL. Reproduction, redistribution and transmission of any information contained on the web site is strictly prohibited. Access to this web site is in confirmation that you have understood and accepted these terms. This service does not in any manner whatsoever undermine, compromise or substitute

	First / Sole Holder	Second Holder	Third Holder
Name			
Signatures*			

**Note: In case of individual demat account this document to be signed by the Account-holder and not by his or her Authorised Person or POA Holder.*

In case of non- individual demat account this document to be signed by the Authorised Signatory(ies) only.

PREVENTION OF MONEY LAUNDERING ACT, 2002

(Information for BO awareness)

Investor Awareness:

In recent years, worldwide efforts to combat money laundering and the financing of terrorism have assumed heightened importance. Both are global problems that not only threaten security, but also compromise the stability, transparency, and efficiency of financial systems, thus undermining economic prosperity.

The Prevention of Money Laundering Act, 2002 (PMLA) forms the core of the legal framework put in place by India to combat money laundering. PMLA and the Rules notified there under came into force with effect from 1 July 2005 . Director, FIU-IND and Director (Enforcement) have been conferred with exclusive and concurrent powers under relevant sections of the Act to implement the provisions of the Act. PMLA defines money laundering offence and provides for the freezing, seizure and confiscation of the proceeds of crime.

Please Refer- <http://fiuindia.gov.in/pmla2002.htm>

Please refer to SEBI Master Circular on Anti Money laundering Standards (AML)/Combating Financing of Terrorism (CFT) obligations of securities market intermediaries under the Prevention of Anti-Money Laundering Act, 2002 and rules framed thereunder dated 31st December 2010. http://www.sebi.gov.in/cms/sebi_data/attachdocs/1295933371907.pdf for detailed information



POWERING YOUR FINANCIAL GROWTH

Prabhudas Lilladher Pvt. Ltd.

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NSE - Member of Capital Market Segment & Clearing Cum Trading Member of Currency Derivative & F&O Segment - SEBI No. INB /F /E 230597738

BSE - Member of Cash Segment & Clearing Cum Trading Member of Derivative Segment - SEBI No. INB / F 010502855;

Trading Member of Currency Derivative Segment - SEBI No. INE 010597738

Depository Participant of CDSL - SEBI No. IN-DP-CDSL-14-99

Depository Participant of NSDL - SEBI No. IN-DP-NSDL-329-2010